

1 METHOD AND DEVICE FOR PROCESSING A SPEECH SIGNAL FOR ROBUST  
2 SPEECH RECOGNITION

3 The invention relates to a method and a device for processing a  
4 speech signal, which is tainted by noise, for subsequent speech  
5 recognition.

6 Speech recognition is being used to an increasing extent to  
7 facilitate the operation of electrical devices.

8 To enable speech to be recognized what is known as an acoustic  
9 model must be created. To this end, speech commands are  
10 trained, a process which can be undertaken for example - for  
11 the case of speaker-independent speech recognition - at the  
12 factory. Training here is taken to mean the creation of so-  
13 called feature vectors describing the voice command, based on  
14 speaking a voice command numerous times. These feature vectors  
15 (which are also called prototypes) are then collected into the  
16 acoustic model, for example a so-called HMM (Hidden Markov  
17 Model).

18 The acoustic model serves to determine from a given sequence of  
19 speech commands or words selected from the vocabulary, the  
20 likelihood of the observed feature vectors (during the  
21 recognition).

22 For speech recognition or recognition of flowing speech, in  
23 addition to an acoustic model a so-called speech model is also  
24 used, which specifies the likelihood of individual words  
25 following each other in the speech to be recognized.

26 The aim of current improvements in speech recognition is to  
27 gradually achieve better speech recognition rates, i.e. to  
28 increase the likelihood that a word or speech command spoken by  
29 a user of the mobile communication device being recognized  
30 correctly.

31 Since this speech recognition has a multiplicity of uses, it is

1 also used in environments which are adversely affected by  
2 noise. In this case the speech recognition rates fall  
3 drastically since the feature vectors to be found in the  
4 acoustic model, for example in the HMM, have been created on  
5 the basis of clean speech, i.e. speech untainted by noise. This  
6 leads to unsatisfactory speech recognition in loud  
7 environments, such as on the street, in busy buildings or also  
8 in the car.

9 Using this prior art as its starting point, the object of the  
10 invention is to create an option for also performing speech  
11 recognition with a high speech recognition rate in noisy  
12 environments.

13 This object is achieved by the features of the independent  
14 claims. Advantageous further developments are the object of the  
15 dependent claims.

16 The core of the invention is that processing of the speech  
17 signal is undertaken before this signal is routed to a speech  
18 recognition system for example. The speech signal undergoes  
19 noise suppression within the framework of this processing.  
20 Subsequently the speech signal is normalized as regards its  
21 signal level. The speech signal in this case comprises one or  
22 more speech commands.

23 This has the advantage that the speech recognition rates for a  
24 speech command for a speech signal with noise-tainted speech  
25 pre-processed in this manner are significantly higher than with  
26 conventional speech recognition with noise-tainted speech  
27 signals.

28 Optionally, after noise suppression, the speech signal can also  
29 be fed to a unit for determining the speech activity. On the  
30 basis of this noise-reduced speech signal it is then

1 established whether speech or a pause between speech is  
2 present. Depending on this decision, the normalization factor  
3 for signal level normalization is then determined. In  
4 particular the normalization factor can be defined so that  
5 pauses between speech are more heavily suppressed. Thus the  
6 difference between speech signal sections in which speech is  
7 present and those sections in which no speech is present  
8 (pauses), becomes even more clear. This makes speech  
9 recognition easier.

10 A method with the features described above can also be applied  
11 to so-called distributed speech recognition systems. A  
12 distributed speech recognition system is characterized by not  
13 all steps within the framework of speech recognition being  
14 performed in the same component. More than one component is  
15 thus required. For example one component can be a communication  
16 device and a further component can be an element of a  
17 communication network. In this case for example the speech  
18 signal detection takes place in a communication device equipped  
19 as a mobile station, but the actual speech recognition on the  
20 other hand is undertaken in the communication network element  
21 on the network side.

22 This method can be applied both in speech recognition and also  
23 when the acoustic model is being created, for example an HMM.  
24 Application of the method during the creation of the acoustic  
25 model in conjunction with speech recognition, based on an  
26 inventively preprocessed signal, shows a further improvement in  
27 the speech recognition rate.

28 Further advantages of the invention are shown with reference to  
29 selected exemplary embodiments which are also illustrated in  
30 the Figures.

31 The figures show:

Fig. 1: a histogram in which speech signals containing one or more speech commands are plotted in relation to their signal level, for the case of training to create an acoustic model;

Fig. 2: a histogram of speech signals in relation to their signal level for the case of a speech recognition;

Fig. 3: a schematic embodiment of an inventive processing sequence;

Fig. 4: a histogram, in which the noise-reduced and speech level-normalized speech signal is plotted against the speech signal level;

Fig. 5: a histogram, in which the noise-reduced speech signal is plotted against the signal level;

Fig. 6 a histogram, in which the speech signal is preprocessed in the training in accordance with the invention;

Fig. 7 a distributed speech processing scheme;

Fig. 8 an electrical device which can be used within the framework of distributed speech processing.

Fig. 8 shows an electrical device embodied as a mobile telephone or mobile station MS. It has a microphone M for accepting speech signals containing speech commands, a Central Processing Unit CPU for processing the speech signals and a radio interface FS for transmitting data, for example processed speech signals.

The electrical device can, on its own or in combination with other components, implement speech recognition with regard to the accepted or detected speech commands.

The detailed investigations which have led to the invention will now be presented:

Fig. 1 shows a histogram in which speech signals containing one or more speech commands are sorted in respect of their signal level  $L$  and this frequency  $H$  has been plotted against the signal level. In this case a speech signal  $S$ , as indicated in the following Figures for example, contains one or more speech commands. For the sake of simplicity it is assumed below that the speech signal contains a speech command. A speech command can for example be formed for an electrical device equipped as a mobile telephone by the request "call" as well as optionally by a specific name. A speech command must be trained for speech recognition, i.e. based on repeated speaking of the speech command one feature vector or a number, i.e. more than one feature vector is created. This training is undertaken within the framework of creating the acoustic model, for example the HMM, which occurs at the production stage. These feature vectors are included later for speech recognition.

The training of speech commands which is used for the creation of feature vectors is performed at a defined signal level or volume level (single level training). In order to exploit the dynamic range of the AD converter to convert the speech signal into a digital signal, the preferred operational level is around -26 dB. The definition in Decibels (dB) is produced by the bits available for signal level. Thus 0 dB would mean an overflow (that is exceeding the maximum volume or the maximum level). Alternatively instead of a single level training, training can be performed at a number of levels, for example at -16, -26 and -36 dB.

Fig. 1 in this case shows the frequency distribution of the speech level for a speech command for training.

A mean signal level  $X_{\text{mean}}$  as well as a certain distribution of the levels of the speech signal is produced for a speech command. This can be represented as a Gaussian function with

the mean signal level  $X_{\text{mean}}$  and a variance  $\sigma$ .

After the distribution of the speech commands for the training situation has been seen in Fig 1, the situation for speech recognition is shown in Fig 2 which again presents the frequency  $H$  plotted against the signal level  $L$  in accordance with Fig 1: Here the speech signal  $S'$  with one or more speech commands, as is indicated in the subsequent Figures, is sorted as regards its signal level  $L$  and the frequency  $H$  is plotted. Because of the environmental effects, even after noise reduction NR has already been applied (cf. Fig. 3) a distribution shifted in relation to the training situation in Fig 1 is produced, with a new mean signal level  $X_{\text{mean}}$  shifted in relation to the mean value  $X_{\text{mean}}$  in the training.

It has been shown in investigations that the speech recognition rate reduces drastically as a result of this shifted mean signal level  $X_{\text{mean}}$ .

This can be seen from Table 1 below:

Table 1: Training with clean speech at different volume levels or signal levels (multi-level).

The speech recognition rates relate to the test speech which was normalized at the signal levels -16, -26, -36 dB.

Test speech Signal levels	Word recognition rates [%]							
	Subway		Babble		Car		Exhibition	
	Clean	5 dB	Clean	5 dB	Clean	5 dB	Clean	5 dB
-16 dB	98.83	80.14	98.79	86.99	98.72	88.01	99.11	79.76
-26 dB	99.14	85.66	99.15	76.66	99.19	91.35	99.35	85.00
-36 dB	99.39	85.05	99.21	82.41	99.28	89.41	99.57	85.47

Table 1 lists the speech recognition rate or word recognition rate for different noise environments in which training with a clean speech at different volumes has been undertaken. The test

1 speech, that is the speech signal from Fig. 1, has been  
2 normalized at three different levels at -16 dB, -26 dB and -36  
3 dB. The speech recognition rates for different types of noises  
4 with a noise level of 5 dB are shown for this different test  
5 speech energy level. The different noises involved are typical  
6 background noises such as subway, so-called babble noise, e.g.  
7 a cafeteria environment with speech and other noises, the  
8 background noise in a car as well as the noise at an exhibition  
9 (i.e. similar to bubble noise, but worse, possible with  
10 announcements, music etc). It can be seen from Table 1 that  
11 speech recognition in noise-free speech is largely unaffected  
12 by variations in the test speech energy level. However for  
13 noise-tainted speech a significant reduction in speech  
14 recognition can be seen. The terminal-based pre-processing  
15 method AFE has been included for speech recognition here which  
16 is used to create the feature vectors.

17 For the speech recognition rates investigated in Table 1 -  
18 which are still not satisfactory - the situation is however  
19 significantly improved compared to the speech recognition based  
20 on training with only one volume level.

21 In other words the effect which an ambient noise has on an  
22 acoustic model which was created on the basis of only one  
23 volume of the training speech is even more plainly detrimental.

24 This has led to the inventive improvements presented below:

25 Fig. 3 now presents the execution sequence in accordance with  
26 one exemplary embodiment of the invention. The speech command  
27 or speech signal S, e.g. a word spoken by a person, is  
28 subjected to a noise reduction NR. After this noise reduction  
29 NR a noise-reduced speech signal S' is present.

30 The noise-reduced speech signal is subsequently subjected to a

1 signal level normalization SLN. This normalization is used to  
2 establish a signal level which is comparable with the average  
3 signal level shown in Fig. 1 by  $X_{\text{mean}}$ . It has been shown that  
4 higher speech recognition rates can be obtained for comparable  
5 mean signal levels. This means that the speech recognition rate  
6 is already increased by this shifting of the signal level.

7 After the signal level normalization SLN a normalized and  
8 noise-reduced speech signal  $S''$  is present. This can be  
9 subsequently used for example for a speech recognition SR with  
10 a higher speech recognition rate than for original test speech  
11 tainted by noise.

12 Optionally the noise-reduced signal  $S'$  is split up and also  
13 flows in addition to the signal level normalization SLN to a  
14 Voice Activity Detection VAD unit. Depending on whether speech  
15 or a speech pause is present, the normalization level with  
16 which the noise-reduced speech signal was normalized, is set.  
17 For example in speech pauses a smaller multiplicative  
18 normalization factor can be used by which the signal level of  
19 the noise-reduced speech signal  $S'$  is reduced more in speech  
20 pauses than if speech is present. This means that a stronger  
21 distinction between speech, that is between individual speech  
22 commands for example and speech pauses is possible, which  
23 further greatly improves a downstream speech recognition as  
24 regards the speech recognition rate.

25 Furthermore there is provision to change the normalization  
26 factor not only between speech pauses and speech sections but  
27 also to vary it within a word for different speech sections.  
28 The speech recognition can also be improved in this way since a  
29 number of speech sections, because of the phonemes contained  
30 within them, exhibit a very high signal level, for example with  
31 plosive sounds (e.g. p), whereas others are rather inherently  
32 silent.



1 Different methods are employed for signal level normalization,  
2 for example a real-time energy normalization, as described in  
3 the Article "Robust Endpoint Detection and Energy Normalization  
4 for Real-Time Speech and Speaker recognition" by Qi Li et al.  
5 in IEEE Transactions on Speech and Audio Processing Vol. 10,  
6 No. 3, March 2002 in Section C (P. 149-150). A further signal  
7 level normalization method is described within the framework of  
8 the ITU, which can be found under ITU-T, ''SVP56: The Speech  
9 Voltmeter'', in software Tool Library 2000 User's Manual, pages  
10 151-161, Geneva. Switzerland, December 2000. The normalization  
11 described in this document works "off-line" or in what is known  
12 as "batch mode", i.e. not simultaneously or contemporaneously  
13 with speech recognition.

14 For noise reduction NR (cf. Fig. 3) different known methods are  
15 also provided, for example methods operating in the frequency  
16 area One such method is described in "Computationally efficient  
17 speech enhancement using RLS and psycho-acoustic motivated  
18 algorithm" by Ch. Beaugeant et al. in Proceedings of 6th World  
19 Multi-conference on Systemics, Cybernetics and Informatics,  
20 Orlando 2002. The system described in this document is based on  
21 an analysis-by-synthesis system in which the parameters  
22 describing the (clean) speech signal and the noise signal are  
23 extracted frame-by frame recursively (cf. Section 2 "Noise  
24 Reduction in the Frequency Domain", Section 3 "Recursive  
25 implementation of the least square algorithm" in this  
26 document). The clean speech signal thus obtained is further  
27 weighted (cf. Section 4 "Practical RLS Weighting Rule") and an  
28 estimation of the power of the noise signal is undertaken (cf.  
29 Section 5 "Noise Power Estimation"). Optionally the results  
30 obtained can be refined by means of psychoacoustic motivated  
31 methods (Section 6:"Psychoacoustic motivated method"). Further  
32 noise reduction methods which can be included in accordance  
33 with an embodiment shown in Fig. 3 are for example described in

1 ETSI ES 202 0505 V1.1.1 dated October 2002 in Section 5.1  
2 ("Noise Reduction").

3 An unprocessed speech signal  $S$  as regards noise reduction NR  
4 and signal normalization is used as the basis for the frequency  
5 distributions in Fig. 1 (training situation) and 2 (test  
6 situation, i.e. for a speech recognition). The noise-reduced  
7 speech signal  $S'$  is used as a basis for the frequency  
8 distribution in Figure 5. The noise-reduced and signal-level-  
9 normalized signal is used as the basis for the distributions in  
10 Figures 4 (test situation) and 5 (training situation).

11 The idea underlying the schematic execution sequence shown in  
12 Fig 3 of a speech signal processing for a subsequent speech  
13 recognition is presented in Figures 4 to 6.

14 Fig. 5 shows a frequency distribution for a noise-reduced  
15 speech signal  $S'$  as occurs for example in Fig. 3 after the  
16 noise reduction NR. Compared to Fig. 2, which relates for  
17 example to the frequency distribution for a speech signal  $S$   
18 shown in Fig. 3, a further noise reduction NR has thus been  
19 undertaken.

20 The center of the frequency distribution of this noise-reduced  
21 speech signal  $S'$  compared to the speech level  $L$  is to be found  
22 at a mean level  $X_{\text{mean}}$ . The distribution has a width  $\sigma'$ . In the  
23 transition to Fig. 4, signal normalization SLN is performed on  
24 the noise-reduced signal  $S'$  shown in Fig. 5. This means for  
25 example that the speech signal used as a basis for the  
26 distribution in Fig. 4 would correspond to the noise-reduced  
27 and signal-level-normalized speech signal  $S''$ .

28 A signal level normalization brings the actual signal level in  
29 Fig. 5, to a desired signal level, for example the signal level  
30 obtained in training, indicated in Fig. 1 by  $X_{\text{mean}}$ . Furthermore

1 signal level normalization SLN leads to the distribution  
2 becoming narrower i.e. to  $\sigma''$  being narrower than  $\sigma'$ . This means  
3 that the mean signal level  $X_{\text{mean}}''$  in Fig. 4 can more easily be  
4 reconciled with the mean signal level  $X_{\text{mean}}$  in Fig. 1, which was  
5 obtained in training. This leads to higher speech recognition  
6 rates.

7 The application of what has been explained above is now  
8 examined for speech recognition in conjunction with Fig. 7.  
9 As already explained at the start, the speech recognition can  
10 take place in one component or distributed amongst a number of  
11 components.

12 For example in an electrical device which is embodied as a  
13 mobile station MS there can be means for recognizing the  
14 speech signal, e.g. the microphone M shown in Fig. 8, means for  
15 a noise reduction NR and means the signal normalization SN. The  
16 latter can be implemented within the framework of the Central  
17 Processing Unit CPU. Thus the idea presented in Fig. 3 of  
18 speech signal processing in accordance with one embodiment of  
19 the invention as well as the subsequent speech recognition in a  
20 mobile station can be implemented on its own or in conjunction  
21 with an element of a communication network.

22 In accordance with an alternative embodiment the speech  
23 recognition SR (see Fig. 3) is even undertaken on the network  
24 side. To this end the feature vectors created from a speech  
25 signal  $S''$  are transmitted via a channel, especially a radio  
26 channel to a central unit in the network. Here the speech  
27 recognition is undertaken on the basis of the transmitted  
28 feature vectors especially on the basis of the model created  
29 during production. During production can mean especially that  
30 the acoustic model is created by the network operator.

31 In particular the proposed speech recognition can be applied to

1 speaker-Independent speech recognition as is for example  
2 undertaken within the framework of the so-called Aurora  
3 scenario.

4 A further improvement emerges in speech commands are already  
5 normalized when the acoustic model is created during production  
6 or during training in respect of the signal level. This means  
7 that the distribution of the signal level is namely narrower,  
8 by which an even better match between the distribution shown in  
9 Fig. 4 and the distribution achieved in the training is  
10 obtained. Such a distribution of the frequency  $H$  in relation to  
11 the signal level  $L$  for a speech command in training for which  
12 signal level normalization has already been performed, is shown  
13 in Fig. 6. The mean training level  $X_{\text{mean\_new}}$  last produced  
14 matches the mean level  $X_{\text{mean}}$  (Fig. 4) of the noise-reduced and  
15 signal-level-normalized speech signal  $S''$  (Fig. 3). As has  
16 already been shown, a match in the mean levels is one of the  
17 criteria for a high speech recognition rate. Furthermore the  
18 width of the distribution in Fig. 6 is very narrow which makes  
19 it easier to reconcile this distribution with the distribution  
20 in Fig. 4, i.e. bring it to the same signal level.

21 Fig. 7 shows a Distributed Speech Recognition (DSR). A  
22 distributed speech recognition can for example be used within  
23 the framework of the AURORA project of the ETSI STQ (Speech  
24 Transmission Quality) already mentioned.

25 With a distributed speech recognition a speech signal, for  
26 example a speech command, is detected at a unit and feature  
27 vectors describing this speech signal are created. These  
28 feature vectors are transmitted to another unit, typically a  
29 network server. Here the feature vectors are processed and  
30 speech recognition is performed on the basis of these feature  
31 vectors.

32 Fig. 7 shows a mobile station MS as a first unit or component

1 and a network element NE.

2 The mobile station MS, which is also referred to as a terminal,  
3 features means AFE for terminal-based preprocessing which are  
4 used to create the feature vectors. For example the mobile  
5 station MS is a mobile radio device, portable computer or any  
6 other mobile communication device. The means AFE for terminal-  
7 based preprocessing is for example the "Advanced Front End"  
8 discussed within the framework of the AURORA project.

9 The means AFE for terminal-based preprocessing comprises means  
10 for standard processing of speech signals. This standard speech  
11 processing is for example described in Specification ETSI ES  
12 202050 V1.1.1 dated October 2002 in Fig. 4.1. On the mobile  
13 station side the standard speech processing includes feature  
14 extraction with the steps noise reduction, waveform processing,  
15 cepstrum calculation as well as blind equalization. Feature  
16 compression and preparation for transmission are subsequently  
17 undertaken. This processing is known to the person skilled in  
18 the art, for which reason it is not discussed in further detail  
19 here.

20 In accordance with an embodiment of the invention the means AFE  
21 for terminal-based preprocessing also comprises means for  
22 signal level normalization and voice activity detection in  
23 accordance with Fig. 3.

24 These means can be integrated into the AFE means or  
25 alternatively implemented as a separate component.

26 Using subsequent means FC for feature compression, terminal-  
27 based preprocessing AFE, the one or more feature vectors which  
28 are created from the speech command are compressed to allow  
29 them to be transmitted via a channel CH.

30 The other unit is for example formed by a network server as

network element NE. In this network element NS the feature vectors are decompressed again using means FDC for feature vector decomposition. In addition means SSP are used for server-side preprocessing, so that the means SR for speech recognition can then be used to perform speech recognition based on a Hidden Markov Model HMM.

The results of inventive improvements will now be explained: Speech recognition rates for different training of the speech commands as well as different speech levels or volumes which are included for speech recognition (test speech) are shown in Tables 1 to 2.

Table 2 now shows the speech recognition rates for different energy levels of the test speech. The training is undertaken at a speech energy level of -26 dB. The test speech has been subjected to noise suppression and speech level normalization in accordance with Fig. 3. It can be seen from Table 2 that the speech recognition rates for clean speech are again consistently high. The significant improvement compared to the previous speech recognition method lies in the fact that the difference which can be seen in Table 1 in the speech recognition rates for noise-tainted speech (for a signal-to-noise ratio" of 5 dB) is raised depending on the energy level of the test speech. The "Advanced Front End" described above is employed for speech recognition.

Table 2:

Test Speech Energy levels	Word Recognition Rates [%]							
	Subway		Babble		Car		Exhibition	
	Clean	5 dB	Clean	5 dB	Clean	5 dB	Clean	5 dB
-16 dB	99.45	83.79	98.85	75.63	99.02	86.34	99.35	79.67
-26 dB	99.20	84.71	98.88	74.37	99.05	87.89	99.32	80.56
-36 dB	98.86	84.71	98.70	75.00	98.78	87.77	99.01	80.47

